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PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants : Jiangchun Xu and John A. Stolk  
Application No. : 09/820,089  
Filed : March 27, 2001  
For : COMPOSITIONS AND METHODS FOR THERAPY AND  
DIAGNOSIS OF OVARIAN CANCER

Docket No. : 210121.509

Commissioner for Patents  
Washington, DC 20231

INFORMATION DISCLOSURE STATEMENT

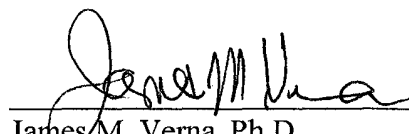
Commissioner for Patents:

In accordance with 37 C.F.R. §§ 1.56 and 1.97 through 1.98, applicants wish to make known to the Patent and Trademark Office the references set forth on the attached form PTO-1449 (copies of the cited references are enclosed). As to any reference supplied, applicants do not admit that it is "prior art" under 35 U.S.C. §§ 102 or 103, and specifically reserve the right to traverse or antedate any such reference, as by a showing under 37 C.F.R. § 1.131 or other method. Although the aforesaid references are made known to the Patent and Trademark Office in compliance with applicants' duty to disclose all information they are aware of which is believed relevant to the examination of the above-identified application, applicants believe that their invention is patentable.

Please acknowledge receipt of this Information Disclosure Statement and kindly make the cited references of record in the above-identified application.

Respectfully submitted,

Seed Intellectual Property Law Group PLLC

A handwritten signature in black ink, appearing to read "James M. Verna", is written over a horizontal line.

James M. Verna, Ph.D.

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JEP:mls

Enclosures:

Postcard

Form PTO-1449

Cited References (2)

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|---|----------------------|----------------|
| <b>TRANSMITTAL FORM</b><br><br>(To be used for all correspondence after initial filing) | Application Number   | 09/820,089     |
|   | Filing Date          | March 27, 2001 |
|   | First Named Inventor | Jiangchun Xu   |
|   | Group Art Unit       |                |
|   | Examiner Name        |                |
| Attorney Docket No.   |                      | 210121.509     |

| ENCLOSURES (check all that apply)  |   |   |
|--|---|---|
| <input type="checkbox"/> Fee Transmittal Form<br><input type="checkbox"/> Fee Attached<br><input type="checkbox"/> Amendment/Response<br><input type="checkbox"/> After Final<br><input type="checkbox"/> Affidavits/declaration(s)<br><input type="checkbox"/> Extension of Time Request<br><input type="checkbox"/> Express Abandonment Request<br><input checked="" type="checkbox"/> Information Disclosure Statement; Form PTO-1449 (3 sheets)<br><input checked="" type="checkbox"/> Cited References (2)<br><input type="checkbox"/> Certified Copy of Priority Document(s)<br><input type="checkbox"/> Response to Missing Parts under 37 C.F.R. 1.52 or 1.53<br><input type="checkbox"/> Response to Missing Parts/Incomplete Application | <input type="checkbox"/> Assignment Papers (for an Application)<br><input type="checkbox"/> Drawing(s)<br><input type="checkbox"/> Request for Corrected Filing Receipt<br><input type="checkbox"/> Licensing-related Papers<br><input type="checkbox"/> Petition<br><input type="checkbox"/> Petition to Convert to a Provisional Application<br><input type="checkbox"/> Power of Attorney, Revocation, Change of Correspondence Address<br><input type="checkbox"/> Declaration<br><input type="checkbox"/> Statement under 37 CFR 3.73(b)<br><input type="checkbox"/> Terminal Disclaimer<br><input type="checkbox"/> Small Entity Statement<br><input type="checkbox"/> Request for Refund | <input type="checkbox"/> CD(s), Number of CD(s) _____<br><input type="checkbox"/> After Allowance Communication to Group<br><input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences<br><input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)<br><input type="checkbox"/> Proprietary Information<br><input type="checkbox"/> Status Letter<br><input checked="" type="checkbox"/> Return Receipt Postcard<br><input type="checkbox"/> Additional Enclosure(s) (please identify below):<br>_____<br>_____<br>_____<br>_____ |
| Remarks  |   |   |

| SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT |                              |                                      |
|--|------------------------------|--------------------------------------|
| Individual Name                            | James M. Verna, Ph.D. 33,287 | <br>00500<br>PATENT TRADEMARK OFFICE |
| Signature                                  |                              |                                      |
| Date                                       | June 26, 2001                |                                      |

| CERTIFICATE OF MAILING  |                  |                     |
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| Typed or printed name   | Monica Steinborn |                     |
| Signature   |                  | Date: June 28, 2001 |

FORM PTO-1449  
(REV. 7-80)U.S. DEPARTMENT OF COMMERCE  
PATENT AND TRADEMARK OFFICEATTY. DOCKET NO.  
210121.509APPLICATION NO.  
09/820,089**INFORMATION DISCLOSURE STATEMENT**

(Use several sheets if necessary)

APPLICANTS

Jiangchun Xu and John A. Stolk

FILING DATE

March 27, 2001

GROUP ART UNIT

**U.S. PATENT DOCUMENTS**

| *EXAMINER<br>INITIAL |    | DOCUMENT NUMBER | DATE | NAME | CLASS | SUBCLASS | FILING DATE<br>IF APPROPRIATE |
|----------------------|----|-----------------|------|------|-------|----------|-------------------------------|
|                      | AA |                 |      |      |       |          |                               |
|                      | AB |                 |      |      |       |          |                               |
|                      | AC |                 |      |      |       |          |                               |
|                      | AD |                 |      |      |       |          |                               |
|                      | AE |                 |      |      |       |          |                               |
|                      | AF |                 |      |      |       |          |                               |
|                      | AG |                 |      |      |       |          |                               |
|                      | AH |                 |      |      |       |          |                               |
|                      | AI |                 |      |      |       |          |                               |
|                      | AJ |                 |      |      |       |          |                               |

**FOREIGN PATENT DOCUMENTS**

|  |    | DOCUMENT<br>NUMBER | DATE | COUNTRY | TRANSLATION |    |
|--|----|--------------------|------|---------|-------------|----|
|  |    |                    |      |         | YES         | NO |
|  | AK |                    |      |         |             |    |
|  | AL |                    |      |         |             |    |
|  | AM |                    |      |         |             |    |
|  | AN |                    |      |         |             |    |
|  | AO |                    |      |         |             |    |

**OTHER PRIOR ART** (Including Author, Title, Date, Pertinent Pages, Etc.)

|  |    |  |
|--|----|--|
|  | AP | Heller et al., "Discovery and analysis of inflammatory disease-related genes using cDNA microarrays," <i>Proc. Natl. Acad. Sci. USA</i> 94:2150-2155, March 1997.      |
|  | AQ | Schena et al., "Parallel human genome analysis: Microarray-based expression monitoring of 1000 genes," <i>Proc. Natl. Acad. Sci. USA</i> 93:10614-10619, October 1996. |

EXAMINER

DATE CONSIDERED

\* EXAMINER: Initial if reference considered, whether or not criteria is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant(s).